

Performing 03D01

When you perform procedure 03D01:

- Randomly select one or more of the three HACCP requirements (monitoring, verification and recordkeeping) for verification.
- Select one (or more) of the sections of the canning regulations (e.g., 318.301, 381.301; 318.302, 381.302, etc.) for verification that the establishment is meeting the requirements of the regulation.
- Determine which component (review and observation or recordkeeping or a combination of the components) to perform in verifying each HACCP regulatory requirement and the canning regulatory section you selected.

The majority of the time when the HACCP recordkeeping requirement is verified you will use the recordkeeping component of the 03D01 procedure. In verifying some of the canning regulatory requirements, however, you will need to use the review and observation component. For example, when verifying that the establishment is meeting the requirements of §318.305(a) or §381.305(a), you would have to go into the establishment and verify that each retort is equipped with at least one indicating temperature device that measures the actual temperature within the retort. This cannot be determined by reviewing records. It requires direct observation of the process.

- Verify the HACCP regulatory requirements (monitoring, verification, and recordkeeping) and also verify that the establishment is meeting the requirements of the sections of the canning regulations you selected to ensure the supporting documentation is implemented.

Example: 03D01 for plants following the canning regulations	
HACCP CCP 1: Metal	Always verify the recordkeeping requirement by verifying compliance with one or more canning regulations
Randomly select monitoring, verification, or recordkeeping for this CCP	

Example: Your PS for today lists 03D01. The establishment to which you are assigned has one HACCP plan in this processing category, for a variety of soups. You review the hazard analysis and HACCP plan. The establishment has elected not to address food safety hazards associated with microbiological contamination in its hazard analysis. The HACCP plan has one CCP, for foreign material contamination (metal). You realize that you will verify the recordkeeping

regulatory requirement, because this is a canning assignment, therefore verification of recordkeeping requirements is mandatory. You decide to select one HACCP regulatory requirement to verify. Using a pre-determined method of random selection, you chose monitoring, and make a note of this result. Next, you think about which component to perform, and decide to perform the review and observation component. You read the monitoring information in the HACCP plan at the only CCP. You proceed to the processing area to begin to perform the review and observation component to verify the monitoring regulatory requirements at CCP 1. You will also verify the recordkeeping regulatory requirement by reviewing the canning operation, and reviewing the canning records for one or more sections of the canning requirements.